

Complete and send this form, together with applicable fee(s), to: Mail

or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

33971 7590 12/27/2004

MATRIX SEMICONDUCTOR, INC.
3230 SCOTT BOULEVARD
SANTA CLARA, CA 95054

02/07/2005 SSITHIB2 00000040 502302 10665697

01 FC:1501	1400.00	DA
02 FC:1504	300.00	DA
03 FC:8001	15.00	DA

LORIE ARKLEY (Depositor's name)
 Lorie Arkley (Signature)
 2/3/05 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/665,697	09/22/2003	James M. Cleaves	3558P022D2	6036

TITLE OF INVENTION: THREE-DIMENSIONAL MEMORY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/28/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
NGUYEN, TUAN H	2813	438-128000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Matrix Semiconductor, Inc

Santa Clara, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies 5

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 502302 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date 2 / 3 / 05

Typed or printed name PAMELA J. SQUYRES

Registration No. 52,246

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Matrix Memory

Matrix Semiconductor, Inc.
3230 Scott Boulevard Santa Clara, California 95054
Telephone 408.969.4848 Facsimile 408.969.4849

February 3, 2005

Time: 10:11 A.M.
(Santa Clara, California)

To: Commissioner for Patents
Attn: Issuance Payments
Patent Examining Corps
Facsimile Center
P.O. Box 1450
Alexandria, VA 22313-1450

From: Lorie Arkley
Attorney Docket: MA-073-II
Phone: 408-869-2875

FAX NUMBER 703-746-4000

Re: Patent Application of: James M. Cleeves	Examiner: (None entered)
Serial No.: 10/665,697	Group Art Unit: 2813
Filed: September 22, 2003	Attorney Docket No.: MA-073-II
Title: Three Dimensional Memory	

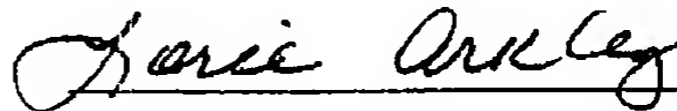
Document(s) Transmitted:

- ☐ Issue Fee Transmittal (1 page + 1 copy)

Total pages of this transmission, including the cover letter: 3

If you do not receive all of the pages described above, please telephone us at 408-869-2921
or fax us at 408-969-4849.

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on
the date shown below.


Lorie Arkley
Date of Transmission 2/3/05